



## **Business Continuity Plan and Policy Statement**

Renaissance Macro Securities, LLC (“RenMac” or the “Firm”) has developed a Business Continuity Plan which is designed to help the business cope with an incident which affects our ability to perform in a normal way. The scale and type of response depends on the incident involved. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our Business Continuity Plan and our general Policy regarding business continuity planning.

**Contacting Us** – If after a Significant Business Disruption (“SBD”) you cannot contact us at our main phone number, 212-537-8811, you should or go to our website at [www.RenMac.com](http://www.RenMac.com). If you cannot access us through either of those means, you may contact our clearing firm, RBC Correspondent Services, at 1-844-917-2266 or via their website at <https://www.rbcwm-usa.com/rbc-cas/> on how they may provide access to funds or securities, or possibly facilitate orders in the event RenMac is unable to do so. An SBD is defined as any event, either man-made or natural, that results in prolonged interruption to the business and cannot be managed through normal management processes.

**Our Business Continuity Plan** – We plan to quickly recover and resume business operations after an SBD and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm’s books and records, and most importantly allowing our customers to transact business. In short, our business continuity plan is designed to permit our Firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

**Our Business Continuity Plan addresses:** data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counterparty impact; regulatory reporting and assuring our customer prompt access to their funds and securities if we are unable to continue business

Our clearing firm backs up our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firm that its objective is to restore its own critical functions and services and be able to complete existing transactions and accept new transactions and payments. Your orders and requests for funds and securities could be delayed during this period.

**Varying Disruptions** – SBDs can vary in their scope, such as only our Firm, a single building housing our Firm, the business district where our Firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our Firm or a building housing our Firm, we will transfer our operations to a local site when needed and expect to recover and resume business as soon as possible. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business as soon as possible. In either situation, we plan to continue in business, transfer operations to our clearing firm if necessary, and notify you through our website or customer emergency number, on how to contact us. If the SBD is so severe that it prevents us from remaining in business, we will assure our customers’ prompt access to their funds and securities through Broadcort, the Firm’s clearing agent.

In the event of an SBD, any and all available information will be posted on RenMac’s website at [www.RenMac.com](http://www.RenMac.com). Please direct any questions you may have regarding this policy to the Chief Compliance Officer at 212-537-8823 or via email to [customerqueries@renmac.com](mailto:customerqueries@renmac.com).